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Prof./Dr. Jonathan Xuesen ZHANG

Director of the Center for Financial Law and Policy
Law School Shanghai Lixin University of Accounting and Finance (LXU)
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BASIC INFORMATION

First Name: Xuesen **Last Name:** Zhang **English Name:** Jonathan
Date of Birth: April 18, 1965 **Place of Birth:** Binzhou, Shandong Province
Gender: Male **Height:** 176CM **Residence:** Pudong New Area, Shanghai, P.R. China

CURRENT EMPLOYMENT

Present Post: Professor of Law, Director of the Center for Financial Law and Policy, Shanghai Lixin University of Accounting and Finance
Course Taught: Financial and Banking Law, Securities Law, Dispute Resolution, International Business Law (Teaching in English), Legal English, and more.

Research Field: International Law, Law and Finance, Financial Arbitration, Legal Issues of Asset Securitization and Green Finance, International Business Law, OBOR and Law

EDUCATION

Degree	Institution	Field	Dates
PhD in Law	East China University of Political Science and Law	Intl Law	2004-2007
LL.M	East China University of Political Science and Law	Intl Law	1994-1997
B.A	Shandong Normal University & Binzhou University	English	1982-1991

FULL-TIME ACADEMIC EXPERIENCE

Institution	Rank	Field	Dates
LXU Law	Director of the Center for Financial Law and Policy	Law	8/2016-present
City Law School, University of London,	Visiting Fellow	Law	3/2018-6/2018
SFU Law	Vice-Director of the Scientific Research Office	Law	1/2009-8/2016
SFU Law	Associate Dean & Chair of the Financial Law Dept.	Law	5/2005-1/2009
California State University,	Visiting Scholar	Law	9/2007-1/2008
ECUPL	Editor-in-Chief of the Journal <i>Graduates in Law</i>	Law	9/1994-6/1997

LXU = Shanghai Lixin University of Accounting and Finance, a public institution at Shanghai

SFU = Shanghai Finance University, a public institution at Shanghai
 ECUPL= East China University of Political Science and Law, a public institution at Shanghai
 SFU and Shanghai Lixin University of Commerce and Accounting merged into one as LXU in 2016

PROFESSIONAL EXPERIENCE

Place of Employment	Title / Work	Full/Part Time	Dates
Shanghai Securities News Daily, Xinhua News Agency	Journalist, General Counsel, General Manager	Full Time	6/1997-5/2005
<i>Journal of Graduates in Law</i> , ECUPL	Editor-in-Chief	Full Time	9/1994-6/1997
Shanghai Law Society	Board Member	Part Time	2007-present
Shanghai Institute of Fin. Law	Board Member	Part Time	2007-present
Shanghai Institute of Intl Law	Board Member	Part Time	2007-present
Shanghai Municipal Government	Expert for Procurement Evaluation	Part Time	2010-present
Mediation Center of China Council for the Promotion of International Trade / China Chamber of International Commerce	Mediator, Member of the Financial Law Panel	Part Time	2008-present
Shanghai Pudong Translators Association	Chairman	Part Time	2008-present
Binzhou Arbitration Comm.	Arbitrator	Part Time	2009-present
Shanghai Arbitration Commission / Shanghai Court of Financial Arbitration	Arbitrator	Part Time	2017-present
Shanghai Pudong Academy of Financial Law	Vice President, the Secretary-General	Part Time	2012-present
American TESOL Institute	Expert Certification	Part Time	2012-present
Shandong Provincial Education & Training Center for Science and Technology	Senior Lecturer in English	Part Time	1988-8/1994

PUBLICATIONS

Books

Xuesen ZHANG: Legal Issues of Financial Innovation and Development, Fudan University Press, 09/2018

Xuesen ZHANG: International Business Law (English Version, 2nd Version), Fudan University Press, 09/2018

Xuesen ZHANG: International Business Law (Chinese-English Bilingual Version, 2nd Version), Fudan University Press, 08/2018

Xuesen ZHANG, as vice Editor-in-Chief and one of the Authors: *2017 Blue Book for the Construction of Shanghai International Financial Center*, Shanghai Peoples Publishing House, 12/2017

Xuesen ZHANG, as vice Editor-in-Chief, *2016 Blue Book for the Construction of Shanghai International Financial Center*, the author its 2nd Volume: *Legal Aspects of the Asset Securitization in China*, Shanghai Peoples Publishing House, 12/2016

Xuesen ZHANG: *Law Cases and Analysis for the Financial Sector*, Shanghai Popular Science Press, 12/2015

Xuesen ZHANG: *Financial Adviser*, Fudan University Press, Shanghai Popular Science Press, Shanghai science and Technology Publishing House, 10/2015

Xuesen ZHANG: *Economic Law, 3rd version*, Press of Shanghai University of Finance and Economics, 2/2015

Xuesen ZHANG: *International Business Law, 3rd version*, Press of Shanghai University of Finance and Economics, 2/2015

Xuesen ZHANG: *New Economic Law*, Tsinghua University Press, 5/2014

Daqi Wu, Xuesen ZHANG: *Research on the Development Environment for the Construction of Shanghai International Financial Center*, Press of Shanghai University of Finance and Economics, 9/2014

Xuesen ZHANG: *Economic Law*, China Higher Education Publishing House, 8/2012

Daqi Wu, Xuesen ZHANG: *Law Cases and Analysis of Corporate Finance*, Shanghai Popular Science Press, 3/2011

Xuesen ZHANG, Gary Patterson: *Legal Rules of the World Trade Organization (English Version)*, Fudan University Press, 10/2008

Xuesen ZHANG: *International Business Law (English Version)*, Fudan University Press, 10/2008

Xuesen ZHANG: *Financial Law*, Fudan University Press, 8/2006

Xuesen ZHANG: *The United Nations*, Press of Shanghai University of Finance and Economics, 10/2005

Xianyu Yu, Xuesen ZHANG, Xixiang Song: *Civil and Commercial Law of Chinese Taiwan*, Southeast University Press, 3/2002

Guangxi LIU, Xuesen ZHANG: *Selected Readings of the World Trade Organization*, Economic Science Publishing House, 6/2002

Xuesen ZHANG: *Listing and Investment Strategy on the Growth Enterprise Board of the Securities Market in China*, Economic Science Publishing House, 12/2000

Xuesen ZHANG: *Theory and Practice of Securities Law*, Economic Science Publishing House, 4/1999

Hongjun ZHOU, Xuesen ZHANG: *International Securities Law*, Shanghai Translation Publishing House, 4/1996

Xuesen ZHANG: *College English Learning Guide (Book II & III)*, Shandong Open University, 8/1990

Articles

Xuesen ZHANG: *Legal Aspects of the Principle of Insurable Interest and Life Insurance in China*, Journal of Scientific Life, Shanghai, 09-10/2016

Xuesen ZHANG: *Legal Issues of the Contractual Subjects in Financial Asset Management*, Journal of Scientific Life, Shanghai, 01/2016

Xuesen ZHANG: *Research on Optimizing the Legal Measures and Promoting the Development of the Venture Capital Industry in China*, Government Report, Second Prize of the Outstanding Research Achievements in the Reform and Development of the Financial Industry in Shanghai, 2015

Xuesen ZHANG: *Research on the Core Functions and Countermeasures of Financial Center in the Construction of "Four Centers" in Shanghai*, Government Report, First Place of the Outstanding Research Achievements in the Reform and Development of the Financial Industry in Shanghai, 2013

Xuesen ZHANG: *Legal Mechanism of Financing Guarantee for SMEs in China*, Journal of the Frontier, 13/2012

Xuesen ZHANG: *On Perfecting the Legal Environment for Shanghai International Financial Center*, Journal of the Social Scientist, 03/2012;

Xuesen ZHANG: *Research on Optimization of the Legal Environment for Shanghai International Financial Center*, Third Place of the Outstanding Papers for the Annual Forum of the Banking Law Institute at China Law Society, 2010

Xuesen ZHANG: *Legal Mechanism of Financial Consumer Protection in Shanghai IFC*, Journal of the China Economic & Trade Herald, 06/2012

International Securities Law, Shanghai Translation Publishing House, 1996Xuesen ZHANG: *Legal Aspects of Greater China Economic Area under the WTO—From the Angle of "Regional Economy Integration"*, Journal of the Shanghai Finance University, 05/2010

Xuesen ZHANG: *Research on English-Chinese Translation of Terminology in Financial Law*, Journal of the Shanghai Finance University, 06/2008

Xuesen ZHANG: *On the Financial Futures Exchange and its Exclusive Right System*, Journal of Financial education and research, 1/2007

Xuesen ZHANG: *Constructing the legal system of China's financial futures*, "Forum Column" of the International financial news Daily, 17/8/2006

Xuesen ZHANG: *Characteristics of Foreign Securities Tax System and its Reference*, The Journal of Oriental Taxation Law Research, 11/2006

Xuesen ZHANG: *Institutional Advantages of Trust Business Innovation*, Shanghai Securities News, 7/3/2005

Xuesen ZHANG: *Legal Aspects of the Innovation and Development of China's Trust Industry*, Shanghai Securities News, 26/3/2001

Xuesen ZHANG: *Regulating the Market Operation and Strengthening the Supervision Function -- on the Dialectical Relationship between the Securities News and the Legal System of the Securities Market*, Journal of the Chinese Journalist, 3/2000

Xuesen ZHANG: *The Impact of China's Accession to the WTO on China's Securities Market and its Countermeasures*, Open Tide Magazine, 6/1999

Xuesen ZHANG: *Euro, how do you do?* Journal of Shanghai State-owned Property, 1/1999

Xuesen ZHANG: *The Impact of the Securities Law on Foreign Capital*, Hong Kong Commercial Daily, 7/1/1999

Xuesen ZHANG: *The history, Current Situation and Principles of Legal Regulation of International Futures Market*, China Legal Daily, 10/10/1998

Xuesen ZHANG: *GLOBEX and its Legal Significance*, China Legal Daily, 10/10/1998

Xuesen ZHANG: *Legislation and Self-regulation of the UK Futures Market*, China Legal Daily, 10/10/1998

Xuesen ZHANG: *Analysis of American Futures Legislation*, China Legal Daily, 10/10/1998

Xuesen ZHANG: *The New Development of the International Norms of the Futures Market*, China Legal Daily, 10/10/1998

Xuesen ZHANG: *Futures Company's Unauthorized Change of Positions Resulted in Bearing the Loss and Cost for its Customer*, Hong Kong Commercial Daily, 20/11/1998

Xuesen ZHANG: *Legal Thoughts on Improving the Corporate Governance of China's Listed Companies*, Journal of Shanghai State-owned Property, 9/1998

Xuesen ZHANG: *Implementing Sound Regulation, Strengthening Normative Operation -- Legal Consideration on the Equity Transfer of Listed Companies*, Shanghai Securities News, 13/11/1997

Xuesen ZHANG: *A Powerful Weapon to Safeguard the Healthy Development of the Securities Market -- On the Significance of the Implementation of the New Criminal Law to the Normalization of the Market*, Shanghai Securities News, 28/9/1997

Xuesen ZHANG: *Legal Consideration on the Pledge of Shares of Listed Companies*, Shanghai Securities News, 21/8/1997

Xuesen ZHANG: *Foreign Investment Banking: Development Characteristics and its Reference*, Journal of International Economic Cooperation, 2/1997

Xuesen ZHANG: *International Factoring and the Legal Issues When Used in International Trade*, Journal for Graduates in Law, ECUPL, Winter/1996

Xuesen ZHANG: *Research on the New Development of American Banking Law*, Journal of Shanghai Law Research, 06/1996

Xuesen ZHANG: *On International Commercial Arbitration Agreement*, Journal of Law and society, 01/1996

Book Chapters

Xuesen ZHANG: *Legal Aspects of the Venture Capital Industry under the Construction of the Science and Technology Innovation Center in Shanghai*, in *2015 Blue Book for the Construction of Shanghai International Financial Center*, Shanghai Peoples Publishing House, 12/2015

Xuesen ZHANG: *Legal Issues in the Financial Reform in the Linkage of International Financial Center and the Free Trade Area in Shanghai*, in *2014 Blue Book for the Construction of Shanghai International Financial Center*, Shanghai Peoples Publishing House, 12/2014

Xuesen ZHANG: *Legal Aspects in the Development of Financial Services Industry and Modern Service Industry*, in *2012 Blue Book for the Construction of Shanghai International Financial Center*, Shanghai Peoples Publishing House, 12/2012

Xuesen ZHANG: *Legal Issues of the Financial Futures Market in China*, in *Introduction to futures trading*, Baijia Publishing House at Shanghai, 08/2001

Government Reports

Xuesen ZHANG: *Legal Measures to Promote the Development of the Venture Capital Industry in Shanghai*, Shanghai Municipal Government Counselors' Office, 09/2015

Book Reviews

Xuesen ZHANG: *International Law Research in China with the Times --- About the Dictionary of International Law by Yu Xianyu (Editor-in-Chief)*, Hunan Publishing House, 01/1995

SELECTED PRESENTATIONS AND LECTURES (Since 2014)

1. May 24, 2018, Speech (invited by the Chartered Institute of Arbitrators (CIArb), UK): *Dispute Resolution from a China Perspective*, to the CIArb Western Counties Branch at Bristol, with the Chair of the CIArb Branch, Mr Ben Preece and Vice Chair, Mr Damian Broker, jointly presided over the Talk.
2. May 2, 2018, Sole Speaker as a Visiting Fellow at The City Law School, University of London: *“Legal Aspects of Green Finance: Public or Private?”* Professor Jason Chuah, the Chair of the City Law Department, presided over the Seminar.
3. July 28, 2017, Keynote Speaker: *“Research on Financial Innovation Service for the Development of Real Economy”* at 2017 Financial Forum of the China (Shanghai) Free Trade Zone.
4. June 24, 2016, Keynote Speaker: *“China's Asset Securitization should Be Developed in Model of the United States and the European as well”* at the 2016 China (Shanghai) asset securitization high level Forum
5. March 23, 2016, Keynote Speaker and Prize People: *“Legal Issues and Consumer Protection in the Development of Internet Banking”* at the Second Session of the Internet Financial Trends Forum and Internet Financial Innovation Awards Ceremony in 2016
6. May 21, 2015, Lecture All Day: *“Professional Ethics and Duty Crimes in Banking Sector”*, for the Senior Management of the Rural Commercial Bank of Jinlin Province, Changchun, Jilin
7. June 11-12, 2015, Tow Days Lecture: *“The Legal Issues in the Commercial Banking Sector in China”*, for the Senior Management of the Rural Commercial Bank of Jinlin Province, Changchun, Jilin

8. Nov. 19, 2015, keynote Speaker: “*The Current Situation and Countermeasures of Asset Securitization in China*”, at the 3rd Session of China's Asset Securitization Summit, Shanghai China
9. Sept., 2014-Nov., 2015, 10 Lectures: “*Financial Asset Management and its Risk Prevention*”, respectively at Fudan University, Shanghai Jiaotong University, Tongji University, Donghua University, East China University of Science and Technology, East China Normal University, Shanghai Normal University, Shanghai Second Polytechnic University, Shanghai University for the Aged, and Shanghai Municipal Science and Technology Commission
10. July 10, 2014, Keynote Speaker and Host as Vice-President and the Secretary-General of Shanghai Pudong Academy of Financial Law: *Legal Aspects of the Third Party Payment in China* at Seminar on Financial Innovation and Third Party Payment, held at the conference center of the Law Society of Pudong New Area, Shanghai

WORKS IN PROGRESS

1. Xuesen ZHANG: *Research on the Countermeasures of financial innovation to promote the development of the real economy in the context of China (Shanghai) Pilot Free Trade Zone*, a research project, Shanghai Pudong Science and Technology Association, 2018
2. Xuesen ZHANG: *A Study on the Reference of the National Financial Reform Policy in Yunnan Provincial Financial Reform Zones*, a research project, Yunnan Provincial Government (forthcoming)
3. Xuesen ZHANG: *Financial Law (New Version)*, Fudan University Press (forthcoming)

PROFESSIONAL HONORS, PRIZES, FELLOWSHIPS

1. Second Place of the Outstanding Research Achievements in the Reform and Development of the Financial Industry in Shanghai, 2015
2. First Place of the Outstanding Research Achievements in the Reform and Development of the Financial Industry in Shanghai, 2013
3. Second Place of the Outstanding Research Achievements in the Reform and Development of the Financial Industry in Shanghai, 2013
4. Third Place of the Outstanding Papers for the Annual Forum of the Banking Law Institute at China Law Society, 2011
5. Third Place of the Outstanding Papers for the Annual Forum of the Banking Law Institute at China Law Society, 2010
6. "Economic Law Teaching Material and Course Construction " won the Outstanding Teaching Achievement Award of Shanghai Finance University, 2008
7. "Economic law", Shanghai Finance University Outstanding Published Textbook, 2007

PROFESSIONAL QUALIFICATIONS & OFFICES HELD IN SOCIETIES

1. Certificate of China International Lawyers Training Center (1996)
2. China National Bar Examination (1997)

3. Registered Qualification Certificate of Corporate Counsel of China (1998)
4. Board Member of the Shanghai Law Society (2007-present)
5. Senior Economist in Management of Trade in Services (2007-present)
6. Board Member of the Shanghai Financial Law Institute (2007-present)
7. Mediator and Member of the Financial Law Panel of the Mediation Center of China Council for the Promotion of International Trade (CCPIT) / China Chamber of International Commerce (CCOIC) (2008-present)
8. Arbitrator of the Binzhou Arbitration Commission ((2009-present))
9. Expert for Shanghai Municipal Government Procurement Evaluation (2010-present)
10. Expert for Shanghai Municipal Fiscal Expenditure Performance Evaluation (2011-present)
11. Vice Chairman and General Secretary of the Shanghai Pudong Academy for Financial Law (2012-present)
12. Expert English Certification of the American TESOL Institute (2012-present)
13. Advanced Researcher of the Shanghai Municipal Government Counselor WUDAQI Studio (2014-present)
14. Board Member of the Shanghai Institute for International Law (2015-present)
15. Arbitrator of Shanghai Arbitration Commission /Shanghai Court of Financial Arbitration (2017-present)
16. Chairman of the Shanghai Pudong Translators Association (2018-present)